



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail****Mail Stop ISSUE FEE  
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P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

23713 7590 09/22/2004

**GREENLEE WINNER AND SULLIVAN P C  
5370 MANHATTAN CIRCLE  
SUITE 201  
BOULDER, CO 80303**

11/23/2004 DEMMANU2 00000007 09733166

01 FC:2501 685.00 OP  
02 FC:1504 300.00 OP  
03 FC:8001 30.00 OP

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

|                          |                             |
|--------------------------|-----------------------------|
| Express Mail Receipt     | B. Kroge (Depositor's name) |
| No. EV 584578032 US      | B. Kroge (Signature)        |
| November 19, 2004 (Date) |                             |

|                 |             |                      |                     |                  |
|-----------------|-------------|----------------------|---------------------|------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 09/733,166      | 12/08/2000  | Richard W. Compans   | 96-99               | 2363             |

TITLE OF INVENTION: INDUCTION OF IMMUNOGLOBULIN CLASS SWITCHING BY INACTIVATED VIRAL VACCINE

|                |              |           |                 |                  |            |
|----------------|--------------|-----------|-----------------|------------------|------------|
| APPL.N. TYPE   | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
| nonprovisional | YES          | \$665     | \$300           | \$965            | 12/22/2004 |

|           |          |                |
|-----------|----------|----------------|
| EXAMINER  | ART UNIT | CLASS-SUBCLASS |
| LI, BAO Q | 1648     | 424-093100     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Greenlee Winner and  
2 Sullivan PC  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Emory University

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Atlanta, GA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
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☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

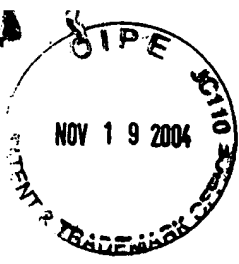
The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature Heeja Yoo  
Typed or printed name Heeja Yoo-Warren

Date November 19, 2004  
Registration No. 45,495

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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DATE OF NOTICE OF ALLOWANCE: September 22, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/733,166 Confirmation No.: 2363  
Applicant : Compans et al.  
Filed : December 8, 2000  
Art Unit : 1648  
Examiner : Bao Q. Li  
For : INDUCTION OF IMMUNOGLOBULIN CLASS SWITCHING BY  
INACTIVATED VIRAL VACCINES  
Docket No. : 96-99  
Customer No. : 23713

RESPONSE TO NOTICE OF ALLOWANCE AND ISSUE FEE DUE

Mail Stop Issue Fee  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith are the following:

Check in the amount of \$1,015.00 (\$685.00 for the Utility issue fee; \$300.00 publication fee; and \$30.00 for 10 copies);

PTOL-85 (Issue Fee Transmittal form);

If the enclosed amount is incorrect, please charge any balance or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Heeja Yoo-Warren  
Reg. No. 45,495

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Attorney Docket No. 96-99  
bmk: November 19, 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

19 November 2004 B. Kroger  
Date B. Kroger  
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